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近代越南华商研究（1860-1940 年代）

A Study on the Chinese Merchants in Vietnam
(1860s -1940s)

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摘要

华侨华人问题是越南研究中的一个重要课题，越南华商研究，更是研究东南亚商贸网络的重要组成部分。本文以近代越南华商(1860-1940 年代)为研究对象，考察和分析这一时期越南华商经济发展的历史背景、华侨政策与贸易管理、华商投资与事业、华商组织及其经济活动、华商与越中贸易、侨汇等问题。全文除绪论和结语外，共分六章：

绪论部分主要阐述选题目的与意义、研究概况与资料来源、研究视角与方法、创新与不足、相关的概念界定等内容。

第一章重点围绕经济因素，阐述殖民前后越南社会的变化与影响，法国进入越南的动机，以及由此引发的中越关系的大变动，从而为研究华商的经济活动提供时代背景和历史舞台。

第二章通过对法国、中国、越南三方华侨政策与贸易管理的阐述，进而展现该时期华商对于各政府的态度。基本上，中国半殖民地半封建的社会环境并不能给予其有效的保护，华商在殖民统治下的经济活动，一如越南人民，受到法国殖民当局的管理与约束，从而在新的社会环境下面临着改革与发展的客观需求。

第三章分析华商在越南的投资与事业，包括华商活动地域和领域的分布、具体的投资领域与发展。通过展现华商自主营生的艰难与成就，进而对其经营模式与特征进行总结，强调华商在推动越南社会经济结构变革的同时，逐步成为当地经济发展的主要力量之一。

第四章考察华商组织及其经济活动。由华商组织来理解华商，是把握华商本质的一个重要方面。本章通过对近代以来越南各类华商组织的类型与特征、功能与管理等内容进行分析，考察它们在华商资本形成和华商社会经济发展中的作用。

第五章分析越中贸易的新发展，主要表现为越北与中国的边境贸易，越南与中国的海路贸易，涉及港口变迁、商品结构、钱币、人口贩卖以及大米贸易、海盗活动等，进而体现华商在影响和带动越中贸易发展中的重要功能，以及推动越南参与更广泛的海外贸易的历史。

第六章从侨汇角度探讨华商与中国的经济联系。结合中外文献的记载，考察侨汇类型与途径、估算侨汇总额、分析中国各个时期的华商投资政策与成效，指

明华商对近代中国经济现代化的贡献以及面临的阻力,强调华商资本作为中国近代经济发展史上的一种进步经济力量的性质。

结语部分一方面从华商身份定位的角度对本文进行总结与归纳,另一方面对包括华商研究在内的越南研究作一评述与展望。

关键词: 华商; 越南; 越中贸易

ABSTRACT

The Overseas Chinese in Vietnam is an important research topic, and also the Chinese Merchants problem is especially a dispensable part of commerce network in Southeast Asia. This dissertation looks at the Chinese Merchants in Vietnam from 1860 to 1950, including the historical background, the management policies, the investment and business, the organizations, the trade between Vietnam and China, as well as the remittance issue. With the introduction and conclusion, the dissertation is divided into six chapters.

The introduction describes the main topic and the significance, the research overview and data sources, the perspectives and methods, the innovations and deficiencies, and related concept definition issues.

Chapter one focus on the economic factors and sums up the background, including the changes of the Vietnam society, the colonial motives of French, and the transformation of the bilateral, which provide the historical stages for the Chinese merchants in economy.

Chapter two explores the management policies of different governments to show attitudes of the Chinese merchants. Basically, the Chinese governments does not provide effective protection, while the Chinese merchants under the colonial rule, as the same as the Vietnamese, who endure the management and constraints in the new social, and face the reform requirements.

Chapter three analyzes the investments and careers in the major economic fields, including the geographical and area distributions, the investments and their characteristics, showing the independent and achievements for making a living. by the giant efforts and struggles, the Chinese merchants in modern times not only promote the social and economic structural changes, but developed into a major force in the local economic development.

Chapter four examines the Chinese business organizations in Vietnam and their economy activities. Organizations research is an important part for grasping the essence of Chinese merchants. This chapter of content analyzes not only the types and characteristics of various Chinese business organizations, functions and management, but also commercial functions in order to investigate their roles in the Chinese merchants capital.

Chapter five analyzes the new developments in trade between Vietnam and

China, which was led by Chinese merchants, mainly for the border trade, and the South China trade. As to the contents, there are the port changes, commodity structure, coins, population, and the rice trade, piracy, etc., and then to indicate their influence in Vietnam and China. Moreover, such activities promote Vietnam to take part in the wider overseas trade in the world.

Chapter six explores the economic ties between the Chinese merchants and China in the perspective of remittances. Combined with record from all kinds of documents, this chapter examines types and ways, estimates the total amount of remittances, and analyzes the investment policies in different periods as well as their influence, which can indicate the contribution and resistance that they face in China's economic modernization. However, the Chinese merchants' capital is a progressive economic power in China.

The conclusion summarizes and generalizes the Chinese merchants' identity and makes an outlook on the research perspectives.

Key Words: the Chinese Merchants; Vietnam; Vietnamese-Sino Trade

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